

Sect 102S

PATENT

Attorney Docket No. 7447.0004

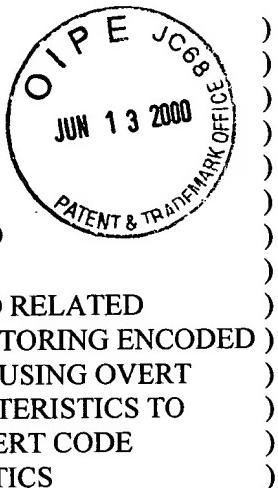
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David L. HECHT et al.

Serial No.: 09/467,509

Filed: December 20, 1999



Group Art Unit: 2759

Examiner: Unassigned

For: A RECORD AND RELATED)
METHOD FOR STORING ENCODED)
INFORMATION USING OVERT)
CODE CHARACTERISTICS TO)
IDENTIFY COVERT CODE)
CHARACTERISTICS)

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the communication of February 11, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

06/14/2000 MMARMOL

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01 FC:117

870.00 OP

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:

Leonard Smith, Jr.

Reg. No. 45,118

Dated: June 13, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
David L. HECHT et al.)
Serial No. 09/467,509) Group Art Unit: 2759
Filed: December 20, 1999) Examiner: UNASSIGNED
For: A RECORD AND RELATED METHOD FOR)
STORING ENCODED INFORMATION)
USING OVERT CODE CHARACTERISTICS)
TO IDENTIFY COVERT CODE)
CHARACTERISTICS)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt received from the U.S. Patent and Trademark Office. There are errors in the Filing Receipt as follows:

1. The last name of David L. Hect is misspelled. The correct spelling is **Hecht**.
2. The names of all of the inventors are not listed as shown on the cover page of the application. The names of all of the inventors are as follows: **David L. Hecht, John Lennon, and Ralph Merkle.**
3. The title of the invention is missing the word "and." The correct title of the invention is **RECORD AND RELATED METHOD FOR STORING ENCODED INFORMATION USING OVERT CODE CHARACTERISTICS TO IDENTIFY COVERT CODE CHARACTERISTICS.**

The errors in the Filing Receipt are indicated in highlight on the copy. The correct information is shown in black ink adjacent the erroneous information.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEMAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:

Leonard Smith, Jr.
Reg. No. 45,118

Dated: June 13, 2000

FILING RECEIPT

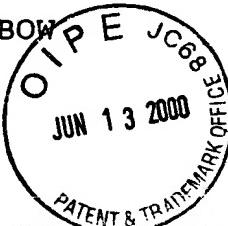


CLO/DLC

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/467,509	12/20/99	2759	\$0.00	07447.0004-0	4	24	3

FINNEGAN HENDERSON FARABOW
GARRET & DUNNER LLP
1300 I STREET NW
WASHINGTON DC 20005



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) DAVID L. HECT. Hecht
John Lennon
Ralph Merkle

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/10/00

TITLE AND

RECORD RELATED METHOD FOR STORING ENCODED INFORMATION USING OVERT CODE CHARACTERISTICS TO IDENTIFY COVERT CODE CHARACTERISTICS

PRELIMINARY CLASS: 711

Original Sent to
Regional Office Palo
on 2/14

Copy Retained
in Washington DC
on _____

RECEIVED

FEB 11 2000

FINNEGAN HENDERSON FARABOW,
GARRET & DUNNER LLP

DC File copy

DATA ENTRY BY: ARMSTEAD, LORRAINE TEAM: 06 DATE: 02/10/00



(See reverse for new important information)

1/10/00 02-14-00 PMA



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO/TITLE

09/467.509

12/20/99

HECT

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07447.0004-0

0262/0211

FINNEGAN HENDERSON FARABOW
GARRET & DUNNER LLP
1300 I STREET NW
WASHINGTON DC 20005

2759

JUN 13 2000

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 962.

1. The statutory basic filing fee is:
- missing
 - insufficient

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 72 for 4 total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees, or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

06/14/2000 PMRML 00000056 09467509 888
01 FC:101 760 130 72
02 FC:103 03